

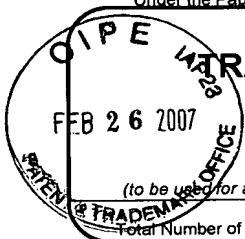
IFW

9875

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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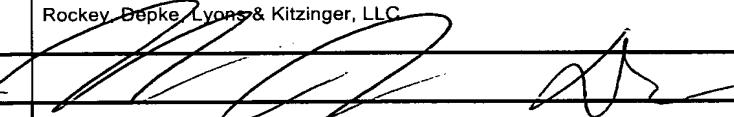
Total Number of Pages in This Submission

Application Number	10/576,235
Filing Date	Apr 14, 2006
First Named Inventor	Masato Hatanaka
Art Unit	2875
Examiner Name	
Attorney Docket Number	075834.00593

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input type="checkbox"/> Form PTO/SB/08A, cited references, and a postcard.
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-3891.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Rockey, Depke, Lyons & Kitzinger, LLC		
Signature			
Printed name	Robert J. Depke		
Date	2/23/07	Reg. No.	37,607

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Robert J. Depke	Date	2/23/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Masato Hatanaka **Atty. Docket No.:** 075834.00593
Serial No.: 10/576,235 **Group Art Unit:** 2875
Filed: April 14, 2006 **Examiner:**
Invention: ILLUMINATING DEVICE AND BACKLIGHT DEVICE
EMPLOYING THE ILLUMINATING DEVICE
Customer No.: 33448

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit a legible copy of each reference listed on the accompanying form PTO/SB/08A.

CERTIFICATION UNDER 37 C.F.R. §1.97(e)

Applicants hereby certify, as required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each reference identified in this Information Disclosure Statement is submitted within three months of the date on which the reference was cited in a communication from a foreign patent office in a counterpart foreign application, and thus no fee is required for consideration thereof. A copy of the communication from the foreign patent office is enclosed for the convenience of the Examiner. Applicant notes that US 6,164,789 is the English equivalent of Japanese 2000-514949.

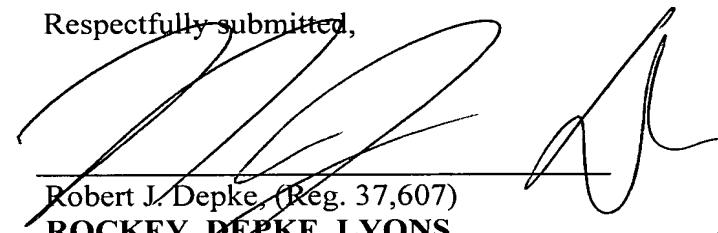
The undersigned also points out that the submission of each reference is neither a concession, nor an admission, that the reference qualifies as prior art for this application

under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge any required fee to the deposit account of Rockey, Depke, Lyons & Kitzinger, LLC, Deposit Account No. 50-3891.

Date: 2/27/07

Respectfully submitted,


Robert J. Depke (Reg. 37,607)
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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/576,235
Sheet	1	of	1	Filing Date	4/14/2006
				First Named Inventor	Masato Hatanaka
				Group Art Unit	2875
				Examiner Name	
				Attorney Docket Number	075834.00593

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. ¹	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
US1	6,164,789			Unger et al.	12/26/2000
US2					
US3					
US4					
US5					
US6					
US7					
US8					
US9					
US10					
US11					
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FOREIGN PATENT DOCUMENTS					
Examiner Initials'	Cite No. ¹	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)	
F1	JP	08-076703			Tsukamoto Fukuo 03/22/1996
F2	JP	2000-514949			Unger et al. 11/07/2000
F3	JP	63-182628			Hitachi Ltd. 07/27/1988
F4	JP	2002-260427			Olympus Optical Co Ltd 09/13/2002
F5	JP	2003-215349			Fujitsu Display Technologies Corp 07/30/2003
F6					
F7					
F8					
F9					
F10					

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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